

08-21-01

A

PATENT APPLICATION TRANSMITTAL LETTER

08/20/01
1131 U.S. PTO

Assistant Commissioner of Patents
Washington, D.C. 20231

Certificate of Mailing by "Express Mail"
"Express Mail" Mailing Label No. EL904368095US

Date of Deposit August 20, 2001

10/20/01
09/23/01
U.S. PTO
jc986

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
"Express Mail" in an envelope addressed to:
Assistant Commissioner of Patents,
Washington, D.C. 20231 on August 20, 2001
(Date of Deposit)

Harold C. Moore

Name of person mailing Document or Fee

Signature

August 20, 2001

Date of Signature

Sir:

Transmitted herewith for filing is the patent application of: Daniel J. Eckert, Ross J. Eckert,
Todd O. Perry, and
Christopher W. Claus

The applicant hereby claims small entity status under 37 C.F.R. § 1.9(c),(f).

For: **A METHOD OF MANAGING RISK IN A SECURITY BASED ON THE
INCOME OF A PERFORMER**

Attorney Docket No.: 1713-0014

Enclosed are:

- * Patent Application - 49 pages of specification including 1 page of claims
- * 1 page Abstract
- * 5 sheets of Informal Drawings
- * One return post card

Please address correspondence to:

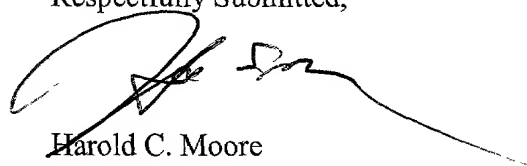
Harold C. Moore
Maginot, Addison & Moore
Bank One Center/Tower
111 Monument Circle, Suite 3000
Indianapolis, Indiana 46204-5115

CLAIMS AS FILED

For	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$355.00
Total Claims	2- 20	0	\$ 9.00	\$0.00
Independent Claims	1- 3	0	\$ 40.00	\$0.00
Multiple Dependent Claims	any		\$ 135.00	\$0.00
Subtotal				\$0.00
Total Filing Fee				\$355.00

Payment of the filing fee is deferred at this time.

Respectfully Submitted,



Harold C. Moore
Registration No. 37,892

August 20, 2001